



Generative AI

Manifesto and Principles for Use

August 2, 2023

VON WOBESER

Manifesto

Embracing Artificial Intelligence to Elevate Legal Practice

At **Von Wobeser y Sierra, S.C.** we are committed to providing excellent legal services to our clients and recognize that standards of excellence evolve over time.

For this reason, it is essential to maintain an innovative mindset and to be in constant search of alternatives that allow us to better serve our clients. In this regard, we recognize the transformative power of generative artificial intelligence ("Generative AI") technologies for the legal profession.

We believe that the proper use of these technologies will help us to offer more agile, accurate and competitive services and will allow us to focus on value-added work for the benefit of our clients. Generative AI is a valuable tool for empowering human talent, which is and will continue to be the most important element of our firm.

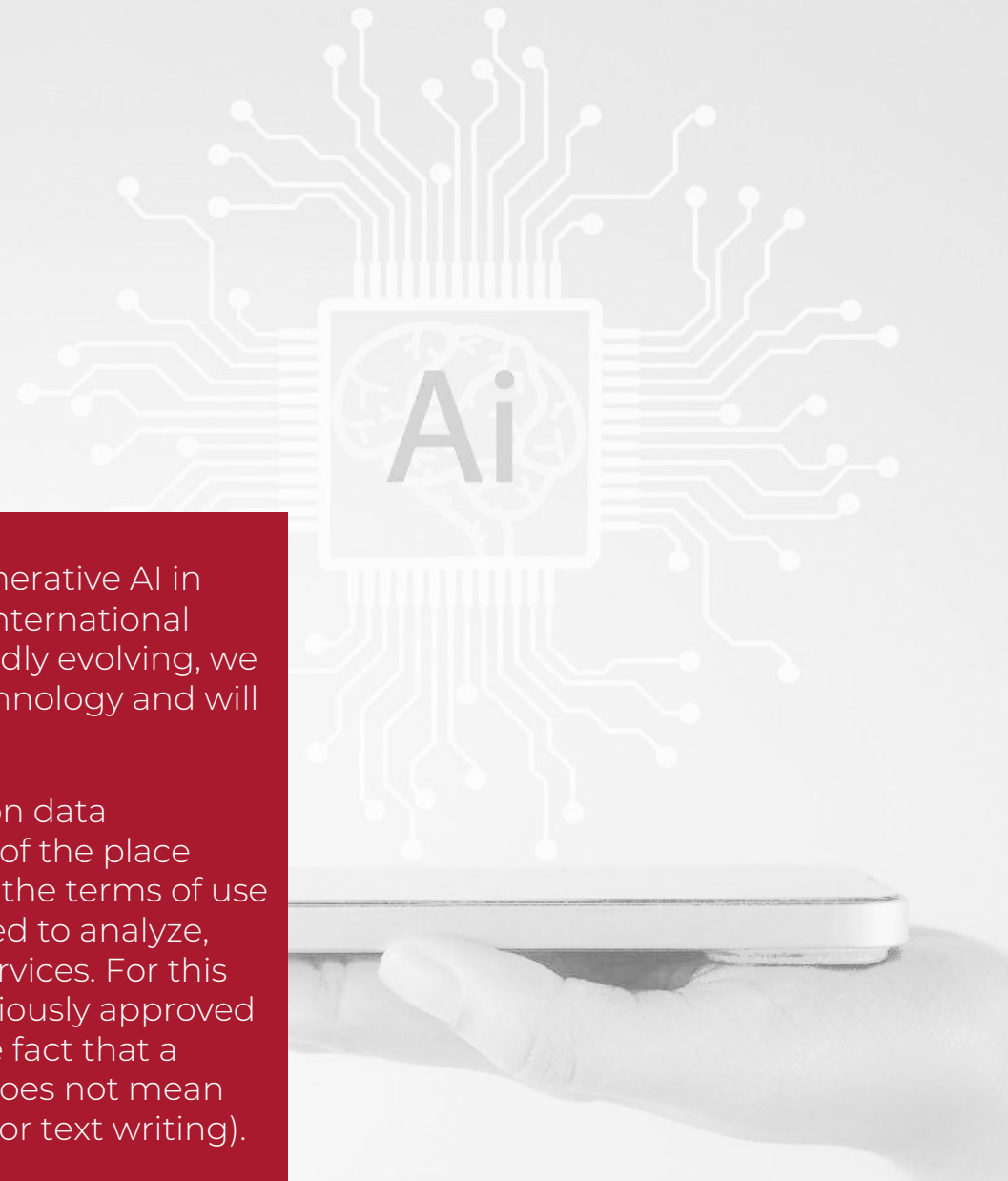
Therefore, we express our commitment to adopt and take advantage, in a responsible, prudent and diligent manner, of Generative AI in the provision of our services. We are convinced that these tools will open new paths, some of which are still difficult to imagine, and will contribute to the development of the legal industry in Mexico. We also believe that, properly used, they will be a lever to pursue higher goals: improving access to justice and strengthening the rule of law in our country.



Principles for the Use of Generative AI 1/3

These principles govern the use of automated text generation ("Generative AI") tools and technological applications for the provision of legal services that Von Wobeser y Sierra, S.C. offers to its clients. Any question related to the interpretation or application of these principles should be discussed with the partner in charge of the relevant matter and, if necessary, raised to the firm's Innovation and Technology Committee.

- 1. Ethical Use and Regulatory Compliance.** We are committed to using Generative AI in accordance with all applicable laws and regulations, as well as domestic and international legal industry best practices. As this is a discipline under construction and rapidly evolving, we will be aware of ethical issues and changes in legislation that relate to this technology and will take appropriate steps to observe and comply with them at all times.
- 2. Authorized Applications.** Generative AI applications have specific policies on data collection, retention, use and distribution. They are subject to the privacy laws of the place where their servers are hosted, not the place where they are used. In addition, the terms of use may refer to arbitration or foreign courts to resolve disputes. Therefore, we need to analyze, understand and evaluate these remedies before using them to provide our services. For this reason, we may only use those Generative AI applications that have been previously approved by the Innovation and Technology Committee and for the permitted uses. The fact that a Generative AI application is authorized for a certain use (e.g. text translation) does not mean that it is authorized for any other use (e.g. legal research, abstract preparation or text writing).



Principles for the Use of Generative AI 2/3

- 3. Prior Authorization from a Partner.** The use of any Generative AI tool for the attention of any matter (e.g., research and analysis, organization of information, preparation of summaries, drafting of text, etc.) requires the prior authorization of the responsible partner. If such use is authorized, the partner shall establish specific guidelines, indicating which tasks may be performed with the support of Generative AI, which shall be understood to be limited in scope. That is to say, Generative AI may not be used for tasks that have not been expressly authorized, nor in other matters different from the authorized one.
- 4. Privacy and Confidentiality.** We understand that removing certain data may not be sufficient to ensure the full confidentiality that we, as lawyers, owe to our clients. Therefore, when using Generative AI applications we must enter information carefully to protect the privacy of clients and the companies we represent, as well as the confidentiality of the consultations and transactions in which they are involved. Therefore, it is strictly prohibited to provide any information that identifies or allows identifying our clients, their counterparties, the operations or the matters in which they participate or intend to participate.
- 5. Supervision and Review.** Our commitment is to provide legal services under standards of excellence and, therefore, the work requires technical supervision. Clients rely on our judgment to make decisions and we should not delegate this trust to third parties or automated systems that may contain errors and biases. Therefore, we will never share material produced from IA Generativa without prior review of content, direct verification of sources, legal basis, legal and practical reasonableness, and approval of the responsible partner. To ensure timely and appropriate review by a member of our team, we generate automated results in manageable portions.

Principles for the Use of Generative AI 3/3

- 6. Education and Training.** As with any innovative area of our practice, we will keep ourselves updated on the use of Generative AI through continuous education, training and improvement. Therefore, we will share knowledge, courses and tools on a regular basis to optimize our use of these technologies for the benefit of our clients and the legal profession.
- 7. Ongoing Evaluation.** We will regularly review the effectiveness and results of the use of Generative AI in our firm, as well as compliance with these principles. We will be open to improvements and updates to ensure that the technology is used in the most efficient and professional manner by our team and for the benefit of our clients.

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